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FACSIMILE COVER SHEET

To: Commissioner for Patents for Examiner Cesar B. Paula Group Art Unit 2178	Facsimile No. 571/273-8300
From: Nancy Milinkovich Legal Assistant to Lisa L.B. Yociss	No. of Pages Including Cover Sheet: 12
<p>Enclosed herewith:</p> <ul style="list-style-type: none"> • Transmittal; • Response to Notice of Non-Compliant Amendment and Supplemental Amendment; and • Copy of Notice of Non-Compliant Amendment. 	
<p>Re: Application Serial No. 09/431,429 Attorney Docket No. AUS990339US11</p>	
<p>Date: May 24, 2006</p>	
<p>Please contact us at (972) 385-8777 if you do not receive all pages indicated above or experience any difficulty in receiving this facsimile.</p>	<p><i>This Facsimile is intended only for the use of the addressee and, if the addressee is a client or their agent, contains privileged and confidential information. If you are not the intended recipient of this facsimile, you have received this facsimile inadvertently and in error. Any review, dissemination, distribution, or copying is strictly prohibited. If you received this facsimile in error, please notify us by telephone and return the facsimile to us immediately.</i></p>

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MAY 24 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bahrs

Serial No.: 09/431,429

Filed: October 29, 1999

For: Method and Apparatus in a Data Processing System for Specifying the Sequencing and Mediation of Application Components

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

§ Group Art Unit: 2178

§

§ Examiner: Paula, Cesar B.

§

§ Attorney Docket No.: AUS990339US11

§

Certificate of Transmission Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being transmitted via facsimile to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, facsimile number (571) 273-8300 on May 24, 2006.

By: Nancy Milinkovich

Nancy Milinkovich

TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Response to Notice of Non-Compliant Amendment and Supplemental Amendment; and
- Copy of Notice of Non-Compliant Amendment.

No fees are believed to be required. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

Lisa Yociss

Lisa Yociss

Registration No. 36,975

Duke W. Yee

Registration No. 34,285

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ATTORNEYS FOR APPLICANT



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/431,429	10/29/1999	PETER C. BAHRS	AUS990339US1	8684
35525	7590	04/24/2006		EXAMINER
IBM CORP (YA) C/O YEE & ASSOCIATES PC P.O. BOX 802333 DALLAS, TX 75380			PAULA, CESAR B	
			ART UNIT	PAPER NUMBER
				2178

DATE MAILED: 04/24/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

CLIENT DOCKET INFORMATION

Client Name: AUS990339US1

File No.

DATE ACTION DOCKETED
 04.24.01 Response to Notice
 of Non-Complaint
 Amendment
 Docketed By: Jng Date 04.24.01
 Checked By: S.2.6 Date 5.2.06
 Attorney Initials: S/L

Application No.	Applicant(s)
09/431,429	BAHRS, PETER C.
Examiner	Art Unit
CESAR B. PAULA	2178

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on 15 February 2006 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- 1. Amendments to the specification:
 - A. Amended paragraph(s) do not include markings.
 - B. New paragraph(s) should not be underlined.
 - C. Other _____
- 2. Abstract:
 - A. Not presented on a separate sheet. 37 CFR 1.72.
 - B. Other _____
- 3. Amendments to the drawings:
 - A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
 - B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
 - C. Other _____
- 4. Amendments to the claims:
 - A. A complete listing of all of the claims is not present.
 - B. The listing of claims does not include the text of all pending claims (including withdrawn claims).
 - C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Cancelled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
 - D. The claims of this amendment paper have not been presented in ascending numerical order.
 - E. Other: See Continuation Sheet.

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/prexnotice/officenote.pdf>.

TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the entire corrected amendment must be resubmitted within the time period set forth in the final Office action.
2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action.

Extensions of time are available under 37 CFR 1.136(a) **only** if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action.

Failure to timely respond to this notice will result in:

Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a Quayle action; or

Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

May 24 2006 8:38AM

YEE & ASSOCIATES, P.C.

(972) 385-7766

p.12

Continuation Sheet (PTOL-324)

Application No. 09/431,429

Continuation of 4(e) Other: Canceled claim 293 has not been listed with its status as per 37CFR 1.121.



CESAR PAULA
PRIMARY EXAMINER